

Message Text

CONFIDENTIAL

PAGE 01 STATE 060240
ORIGIN EB-08

INFO OCT-01 EUR-12 ISO-00 DODE-00 NSAE-00 USIA-06
TRSE-00 SOE-02 DOE-11 CIAE-00 COME-00 /040 R

DRAFTED BY EB/ITP/EWT - JSHORTS
APPROVED BY EB/ITP/EWT - RPRACHT
COMMERCE/OEA - RGAREL
DEFENSE/OSD - LJAMES (SUBS)
RPE

-----028428 091332Z /50

R 090123Z MAR 78
FM SECSTATE WASHDC
TO AMEMBASSY PARIS

C O N F I D E N T I A L STATE 060240

USOECN, EXCON

E.O. 11652: XGDS-1

TAGS: ESTC, COCOM, UK, CZ

SUBJ: UK DIGITAL COMPUTER SYSTEM TO CZECHOSLOVAKIA--
IL 51565
REF: COCOM DOC (77) 544

NO OBJECTION SUBJECT TO FOLLOWING FURTHER CONDITIONS:

1. PRIOR TO ANY ISSUANCE OF ANY EXPORT OR REEXPORT LICENSE OR AUTHORIZATION, ICL SHALL REDUCE THE NUMBER OF DISC STORAGE SPINDLES FROM EIGHT TO SIX, AND SHALL REPORT BACK WITHIN SIX MONTHS ON THE ACCURACY OF THE SIZING.
2. PRIOR TO ANY ISSUANCE OF ANY EXPORT OR REEXPORT LICENSE OR AUTHORIZATION, ICL SHALL SUBMIT TO THE UK GOVERNMENT THE SIGNED STATEMENT FROM VZKG THAT IS DESCRIBED IN SAFEGUARD A
CONFIDENTIAL

CONFIDENTIAL

PAGE 02 STATE 060240

OF ANNEX I, WHICH IS ATTACHED HERETO AND HEREBY MADE AN
INTEGRAL PART HEREOF;

3. PRIOR TO ANY ISSUANCE OF ANY EXPORT OR REEXPORT LICENSE OR AUTHORIZATION, ICL SHALL AGREE, IN A WRITTEN DOCUMENT SUBMITTED TO THE UK GOVERNMENT, TO PERFORM EACH AND EVERY OF SAFEGUARDS B-G OF ANNEX I, WHICH IS ATTACHED HERETO AND

HEREBY MADE AN INTEGRAL PART HEREOF, AND EACH AND EVERY OF THE SAFEGUARDS OF ANNEX II, AND AFTER ANY EXERCISE OR USE OF ANY EXPORT OF REEXPORT LICENSE OR AUTHORIZATION ISSUED PURSUANT TO THIS EXPORT AUTHORIZATION, ICL SHALL FULLY AND FAITHFULLY PERFORM EACH AND EVERY OF THE SAFEGUARDS IT HAS AGREED TO PERFORM PURSUANT TO THIS CONDITION 3;

4. PRIOR TO ANY ISSUANCE OF ANY EXPORT OR REEXPORT LICENSE OR AUTHORIZATION, ICL SHALL AGREE, IN A WRITTEN DOCUMENT SUBMITTED TO THE UK GOVERNMENT, THAT ICL WILL ABIDE BY ANY ACTION TAKEN BY OR REQUIREMENT ISSUED BY THE UK GOVERNMENT AS DESCRIBED IN SAFEGUARD H OF ANNEX I, AND, AFTER ANY EXERCISE OR USE OF ANY EXPORT OR REEXPORT LICENSE OR AUTHORIZATION ISSUED PURSUANT TO THIS EXPORT AUTHORIZATION, ICL SHALL FULLY AND FAITHFULLY ABIDE BY ANY SUCH UK GOVERNMENT ACTION OR REQUIREMENT.

S/S: RAUER H. MEYER, DIRECTOR, OFFICE OF EXPORT ADMINIS.
3/1

ANNEX I

COMPUTER SYSTEM SAFEGUARDS FOR VZKG

A. A RESPONSIBLE REPRESENTATIVE OF VZKG WILL FURNISH TO ICL A SIGNED STATEMENT DESCRIBING THE END USE AND CERTI-
CONFIDENTIAL

CONFIDENTIAL

PAGE 03 STATE 060240

FYING THAT:

- (1) THE EQUIPMENT WILL BE USED ONLY FOR CIVIL APPLICATIONS;
- (2) THE EQUIPMENT WILL NOT BE REEXPORTED OR OTHERWISE DISPOSED OF WITHOUT PERMISSION OF THE UK GOVERNMENT;
- (3) ICL WILL BE NOTIFIED OF ANY SIGNIFICANT CHANGE IN APPLICATION OR OTHER FACTS ON WHICH THE LICENSE WAS BASED;
- (4) A RESPONSIBLE WESTERN REPRESENTATIVE OF ICL WILL HAVE THE RIGHT OF FREE ACCESS AT ALL TIMES TO THE COMPUTER FACILITY AND ALL ASSOCIATED EQUIPMENT WHEREEVER LOCATED;
- (5) AN ICL REPRESENTATIVE WILL BE RESIDENT AT VZKG FOR A PERIOD OF AT LEAST TWO YEARS AFTER INSTALLATION AND ACCEPTANCE OF THE COMPUTER SYSTEM;
- (6) AN ICL REPRESENTATIVE WILL BE FURNISHED INFORMATION AS REQUIRED DEMONSTRATING CONTINUED USE OF THE COMPUTER SYSTEM FOR THE PURPOSES DESCRIBED IN ICL'S APPLICATION FOR THIS CASE, INCLUDING ACCESS FOR AN ICL REPRESENTATIVE

TO ALL PROGRAMMING DOCUMENTATION PERTAINING TO PROGRAMS
BEING RUN ON THE COMPUTER SYSTEM AND INFORMATION IN ITS
OPERATION;

(7) THE COMPUTER SYSTEM WILL BE RUN UNDER ICL'S SUPPLIED
OPERATING SYSTEM, WHICH WILL PROVIDE STANDARD ACCOUNTING
INFORMATION, AND IT WILL NOT BE MODIFIED NOR A DIFFERENT
OPERATING SYSTEM USED WITHOUT ICL'S AUTHORIZATION;

(8) AN ICL REPRESENTATIVE WILL BE PERMITTED TO MONITOR AND
CONTROL THE UTILIZATION OF SPARES AND RETURN TO ICL ALL RE-
PLACED MAJOR ASSEMBLIES;

(9) THE INFORMATION REQUIRED BY SECTION B BELOW WILL BE
CONFIDENTIAL

CONFIDENTIAL

PAGE 04 STATE 060240

REPORTED MONTHLY TO THE UK GOVERNMENT.

(10) AN ICL REPRESENTATIVE WILL BE PERMITTED TO REPORT TO
THE UK GOVERNMENT THE OTHER INFORMATION REQUIRED BELOW.

DURING THE TWO YEARS OF RESIDENCY DESCRIBED IN A(5)
ABOVE, A REPRESENTATIVE OF ICL WILL PREPARE AND SUBMIT
MONTHLY TO THE UK EMBASSY IN PRAGUE A REPORT COVERING
THE COMPUTER TIME UTILIZATION, INCLUDING:

(1) TOTAL CLOCK TIME FOR THE PERIOD OF THE REPORT;

(2) TOTAL COMPUTER ON TIME;

(3) THE TOTAL COMPUTER ON TIME THAT ICL PERSONNEL WERE AT
THE COMPUTER FACILITY;

(4) COMPUTER ON TIME DEVOTED TO HARDWARE AND SOFTWARE MAIN-
TENANCE WITH ICL PERSONNEL INVOLVEMENT;

(5) OTHER TIME DEVOTED TO MAINTENANCE;

(6) COMPUTER ON TIME DEVOTED TO SOFTWARE DEVELOPMENT OR
SYSTEM INTEGRATION USED BY ICL PERSONNEL OR WITH ICL
PERSONNEL INVOLVEMENT;

(7) OTHER TIME DEVOTED TO DEVELOPMENT OR INTEGRATION;

(8) COMPUTER ON TIME DEVOTED TO SCHEDULED VZKG USE WITH
ICL PERSONNEL INVOLVEMENT;

(9) OTHER TIME DEVOTED TO SCHEDULED VZKG USE;

CONFIDENTIAL

CONFIDENTIAL

PAGE 05 STATE 060240

(10) IDLE COMPUTER ON TIME;

(11) COMPUTER OFF TIME DEVOTED TO HARDWARE MAINTENANCE UNDER VZKG SUPERVISION;

(12) OTHER OFF TIME DEVOTED TO HARDWARE MAINTENANCE;

(13) OTHER OFF TIME.

C. ICL WILL INSURE THAT EQUIPMENT DELIVERIES WILL BE MADE INCREMENTALLY CONSISTENT WITH SYSTEM IMPLEMENTATION NEEDS.

D. IF THE MONTHLY REPORT CALLED FOR IN B ABOVE INDICATES FOR THE COMPUTER SYSTEM THAT, FOR MORE THAN 25 PERCENT OF THE TOTAL COMPUTER ON TIME, ICL PERSONNEL WERE NOT AT THE COMPUTER FACILITY, ICL WILL REPORT WHETHER THE COMPUTER SYSTEM WAS EITHER IDLE OR WAS USED FOR APPROVED PURPOSES. IN THE EVENT THAT MORE THAN 25 PERCENT OF THE TOTAL COMPUTER ON TIME IS, IN THE JUDGMENT OF THE UK GOVERNMENT, INADEQUATELY ACCOUNTED FOR, A REPRESENTATIVE OF VZKG WILL PROVIDE ICL WITH INFORMATION AS AGREED IN A(6) ABOVE ALONG WITH APPROVAL OF ITS TRANSMITTAL TO THE UK GOVERNMENT. IN ADDITION, THE UK GOVERNMENT MAY REQUEST ICL TO MONITOR THE OPERATION OF THE COMPUTERS MORE CLOSELY, AND/OR EXERCISE ITS RIGHT OF ACCESS MORE FREQUENTLY AT TIMES ITS PERSONNEL ARE NOT NORMALLY AT THE COMPUTER FACILITY AND REPORT ITS FINDINGS.

E. AN ICL REPRESENTATIVE WILL BE RESIDENT AT VZKG FOR A PERIOD OF AT LEAST TWO YEARS AFTER INSTALLATION AND ACCEPTANCE OF THE COMPUTER SYSTEM. DURING THIS PERIOD ICL'S WESTERN REPRESENTATIVE WILL PREPARE AND SUBMIT MONTHLY TO THE UK GOVERNMENT A REPORT COVERING:

(1) THE STATUS OF TRAINING OF CZECH PERSONNEL;

CONFIDENTIAL

CONFIDENTIAL

PAGE 06 STATE 060240

(2) THE STATUS OF DEVELOPMENT OF THE MAJOR APPLICATION PROGRAMS FOR THE COMPUTER SYSTEM;

(3) THE TOTAL CLOCK TIME THAT ICL PERSONNEL WERE AT THE SITE;

(4) WHETHER THE NUMBER OF DISC STORAGE SPINDLES HAS AT ALL TIMES REMAINED AT SIX, AND WHETHER THE AVERAGE ACCESS TIME OF EACH DISC STORAGE HAS AT ALL TIMES REMAINED AT OR OVER 33.0 MILLISECONDS;

(5) ANY CHANGE IN DISPOSITION OF THE EXPORTED COMPUTER EQUIPMENT OR ACCOUNTABILITY OF SPARE PARTS;

(6) ANY SIGNIFICANT CHANGE IN FACTS ON WHICH APPROVAL OF THIS CASE WAS BASED AND WHETHER IN HIS JUDGMENT THE COMPUTER SYSTEM CONTINUES TO BE USED FOR APPROVED PURPOSES AT THE AUTHORIZED LOCATION.

F. AFTER EXPIRATION OF THE TWO YEARS OF RESIDENCY DESCRIBED IN A(5) AND E ABOVE, ICL'S WESTERN REPRESENTATIVE WILL, FOR THE NEXT FOUR YEARS, MAKE QUARTERLY VISITS AND SUBMIT QUARTERLY TO THE UK GOVERNMENT A REPORT COVERING ITEMS E(1), (3), (4), (5), AND (6) ABOVE AND STATING WHETHER THE COMPUTER SYSTEM CONTINUES TO BE DEDICATED TO THE APPROVED USE.

G. ICL WILL LIMIT THE QUANTITY OF SPARES ON-SITE, AFTER THE ONE-YEAR WARRANTY PERIOD, TO THAT NECESSARY FOR SUPPORTING THE COMPUTER SYSTEM FOR A MAXIMUM OF FOUR MONTHS.

CONFIDENTIAL

CONFIDENTIAL

PAGE 07 STATE 060240

H. IN THE EVENT THAT ANY OF THESE PROVISIONS IS CONTRAVENED OR THE UK GOVERNMENT HAS REASONABLE GROUND FOR SUSPICION THAT SIGNIFICANT DIVERSION TO STRATEGIC PURPOSES HAS TAKEN PLACE AND NO SATISFACTORY EXPLANATION IS FORTHCOMING, THE UK GOVERNMENT WILL TAKE APPROPRIATE ACTION, WHICH MAY INCLUDE REQUIRING ICL TO REMOVE ALL PERSONNEL FROM THE FACILITY AND TO STOP ALL SUPPORT (PROVISION OF EQUIPMENT OR SPARES FROM THE WEST, OR TRAINING, MAINTENANCE, SOFTWARE, ETC.) OF THE FACILITY.

ANNEX II

COMPUTER SYSTEM SAFEGUARDS FOR VZKG

MEASURES TO LIMIT TECHNOLOGY TRANSFERS

ICL WILL LIMIT THE TRANSFER OF TECHNOLOGY TO THE MINIMUM LEVEL FOR THE INSTALLATION, OPERATION AND MAINTENANCE OF THE COMPUTER EQUIPMENT.

A. MAINTENANCE ENGINEERS WILL BE LIMITED TO THE TRAINING AND INFORMATION NECESSARY TO MAINTAIN THE COMPUTER EQUIP-

MENT.

B. ANALYSTS AND APPLICATION PROGRAMMERS WILL BE LIMITED IN NUMBER AND TO THE TRAINING AND INFORMATION NECESSARY TO DEVELOP THE APPLICATION PROGRAMS NOT THE RESPONSIBILITY OF ICC.

C. THE TOTAL NUMBER OF SYSTEM PROGRAMMERS WILL BE LIMITED TO SIX AND THEIR TRAINING AND INFORMATION WILL BE LIMITED TO THAT NECESSARY TO MAINTAIN THE OPERATION SYSTEMS AND SUPPORT SOFTWARE TO BE PROVIDED FOR THE COMPUTER EQUIPMENT.

D. ICL WILL LIMIT THE PROVISION OF THE OPERATING SYSTEM AND THEIR STANDARD LIBRARY PROGRAMS TO THOSE NECESSARY AND CONFIDENTIAL

CONFIDENTIAL

PAGE 08 STATE 060240

APPROPRIATE TO ENGINEERING AND COMMERCIAL APPLICATIONS ASSOCIATED WITH THE PRODUCTION OF STEEL AND STEEL PRODUCTS AT VZKG. VANCE

CONFIDENTIAL

NNN

Message Attributes

Automatic Decaptioning: X
Capture Date: 01 jan 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: COMPUTERS, STRATEGIC TRADE CONTROLS
Control Number: n/a
Copy: SINGLE
Draft Date: 09 mar 1978
Decaption Date: 01 jan 1960
Decaption Note:
Disposition Action: RELEASED
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW
Disposition Date: 20 Mar 2014
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1978STATE060240
Document Source: CORE
Document Unique ID: 00
Drafter: JSHORTS
Enclosure: n/a
Executive Order: X1
Errors: N/A
Expiration:
Film Number: D780106-0345
Format: TEL
From: STATE
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1978/newtext/t19780387/aaaacvmb.tel
Line Count: 301
Litigation Code IDs:
Litigation Codes:
Litigation History:
Locator: TEXT ON-LINE, ON MICROFILM
Message ID: 0b694cca-c288-dd11-92da-001cc4696bcc
Office: ORIGIN EB
Original Classification: CONFIDENTIAL
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 6
Previous Channel Indicators: n/a
Previous Classification: CONFIDENTIAL
Previous Handling Restrictions: n/a
Reference: n/a
Retention: 0
Review Action: RELEASED, APPROVED
Review Content Flags:
Review Date: 17 may 2005
Review Event:
Review Exemptions: n/a
Review Media Identifier:
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
SAS ID: 3385256
Secure: OPEN
Status: NATIVE
Subject: UK DIGITAL COMPUTER SYSTEM TO CZECHOSLOVAKIA-- IL 51565
TAGS: ESTC, UK, CZ, COCOM
To: PARIS
Type: TE
vdkgvwkey: odbc://SAS/SAS.dbo.SAS_Docs/0b694cca-c288-dd11-92da-001cc4696bcc
Review Markings:
Sheryl P. Walter
Declassified/Released
US Department of State
EO Systematic Review
20 Mar 2014
Markings: Sheryl P. Walter Declassified/Released US Department of State EO Systematic Review 20 Mar 2014